

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS ✓
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**FULWIDER • PATTON**

FULWIDER PATTON LEE &amp; UTECH LLP

HOWARD HUGHES CENTER

6060 CENTER DRIVE, TENTH FLOOR

LOS ANGELES, CALIFORNIA 90045

(310) 824-5555 • (310) 824-9696 FAX

**FACSIMILE TRANSMISSION**

Date: November 20, 2003

TelephoneFacsimileTo: Office of Initial Patent Examination  
USPTO

703.746.4060

**CONFIDENTIALITY NOTICE**

THE DOCUMENTS ACCOMPANYING THIS TELECOPY TRANSMISSION CONTAIN CONFIDENTIAL INFORMATION BELONGING TO THE SENDER WHICH IS LEGALLY PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS TELECOPIED INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TELECOPY IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE TO ARRANGE FOR RETURN OF ORIGINAL DOCUMENTS TO US.

From: David S. Sarisky

Re: Serial No. 10/660,341  
Title: A CONTROL UNIT AND SYSTEM  
UTILIZING THE CONTROL UNIT

Client/Matter: YAMA-65283

Number of pages (Incl. this page): 4

If You Do Not Receive All Pages, Please Call Mary at (310) 824-5555, Ext. 741

☐ Original to follow☒ Original will NOT follow**COMMENTS/MESSAGE:**

Attached is an Information Disclosure Statement for facsimile filing in the subject application.

32722.1

**CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on November 20, 2003.

  
David S. Sarisky, Reg. No. 41,288

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Hiroki Ogata et al.

Serial No. 10/660,341

Filed: September 11, 2003

For: A CONTROL UNIT AND SYSTEM  
UTILIZING THE CONTROL UNIT

Examiner: Unknown

Group Art Unit: Unknown

Client ID/Matter No. YAMA-65283

Date: November 19, 2003

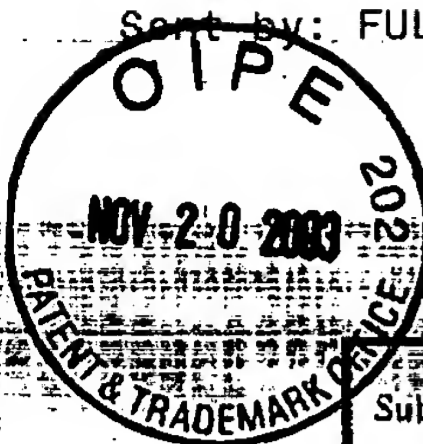
**INFORMATION DISCLOSURE STATEMENT**  
**LIST OF REFERENCES CITED IN PARENT APPLICATION(S)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.97(b)(1) and MPEP § 609, Applicants submit an Information Disclosure Statement including a list of the references cited in the parent application(s) of the present pending application. Applicants request that these references be considered during the examination of the pending application and that they be printed on any patent that issues from the pending application.

Because this IDS has been filed within three months of the filing date of the application, no fee has been enclosed. However, if a fee is due, please charge our deposit account No. 06-2425.



PTO/SB/084 (06-03)

Approved for use through 07/31/2003, OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of 1

Complete if Known	
Application Number	10/600,341
Filing Date	September 11, 2003
First Named Inventor	H. Ogata
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	YAMA-65283

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,036,239		07-30-1991	Yamaguchi	
		5,542,672		08-06-1996	Meredith	
		5,669,818		09-23-1997	Thorner et al.	
		5,691,898		11-25-1997	Rosenberg et al.	
		5,734,373		03-31-1998	Rosenberg et al.	
		5,853,326		12-29-1999	Goto et al.	
		5,897,437		04-27-1999	Nishiumi et al.	
		6,171,191		01-09-2001	Ogata	

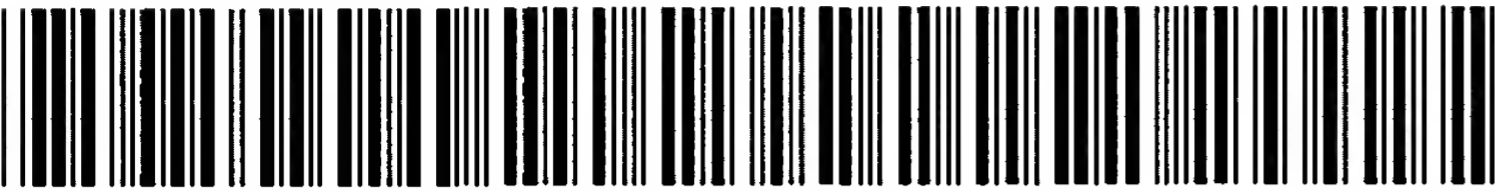
FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	16
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		JP	62-194389 (Laid Open)		12-10-1987			
		JP	62-3-21691 (Laid Open)		03-05-199			
		JP	07-112074		05-02-1995			
		JP	07-194846		08-01-1995			
		JP	08-140301		05-31-1996			
		JP	09-000741		01-07-1997			

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2



Creation date: 12-11-2003  
Indexing Officer: NBEKELE - NUNUYE BEKELE  
Team: OIPEScanning  
Dossier: 60501004

Legal Date: 11-20-2003

No.	Docode	Number of pages
1	PEFR	8

Total number of pages: 8

Remarks:

Order of re-scan issued on .....